



PATENT  
Customer No. 22,852  
Attorney Docket No. **04329.3269**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                           |   |                               |
|---------------------------|---|-------------------------------|
| In re Application of:     | ) |                               |
|                           | ) |                               |
| SOICHI HOMMA              | ) | Group Art Unit: 2826          |
|                           | ) |                               |
| Serial No.: 10/798,433    | ) | Examiner: Benjamin P. Sandvik |
|                           | ) |                               |
| Filed: March 12, 2004     | ) |                               |
|                           | ) | Confirmation No.: 6546        |
| For: SEMICONDUCTOR DEVICE | ) |                               |
| AND MANUFACTURING         | ) |                               |
| METHOD OF THE SAME        | ) |                               |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(d)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicant brings to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement (IDS) is being filed after a Final Office Action, and is accompanied by a fee of \$180.00 as specified under § 1.17(p) and a certification as specified under § 1.97(e).

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

The documents listed in this IDS were first cited in a Notification of Reasons for Rejection ("Notification") from the Japanese Patent Office in a counterpart foreign application, and this Supplemental IDS is being filed within three months of the mailing date of that communication. Copies of the listed foreign and non-patent literature documents are attached.

03/15/2006 SZEWDIE1 00000004 10798433  
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In lieu of a statement of relevance or translation of the non-English documents, an English language translation of the Notification citing these documents and setting forth the relevance thereof is enclosed. A copy of the Notification is also enclosed for the Examiner's

convenience. Applicant does not necessarily endorse the conclusions set forth in the Notification.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 14, 2006

By:   
Darrell D. Kinder, Jr.  
Reg. No. 57,460

## Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

3

of

2

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/798,433          |
| Filing Date            | March 12, 2004      |
| First Named Inventor   | Soichi HOMMA        |
| Art Unit               | 2826                |
| Examiner Name          | Benjamin P. Sandvik |
| Attorney Docket Number | 04329.3269          |

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Document Number                          | Issue or<br>Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|----------------------|--------------------------|--|--|--|---|
|                      |                          | Number-Kind Code <sup>2</sup> (if known) |  |  |   |
|                      |                          | US-                                      |  |  |   |
|                      |                          | US-                                      |  |  |   |
|                      |                          | US-                                      |  |  |   |
|                      |                          | US-                                      |  |  |   |
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|                      |                          | US-                                      |  |  |   |
|                      |                          | US-                                      |  |  |   |
|                      |                          | US-                                      |  |  |   |

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures<br>Appear | Translation <sup>6</sup> |
|----------------------|--------------------------|---|--------------------------------|--|--|--------------------------|
|                      |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |  |                          |
|                      |                          | JP 2001-329048  | 11-27-2001                     | Daisuke et al.                                     |  | Abs                      |
|                      |                          | JP 08-186156  | 07-16-1996                     | Masamitsu  |  | Abs                      |
|                      |                          |   |                                |  |  |                          |
|                      |                          |   |                                |  |  |                          |

## NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),<br>publisher, city and/or country where published. | Translation <sup>6</sup> |
|----------------------|--------------------------|---|--------------------------|
|                      |                          |   |                          |
|                      |                          | Notification of Reasons for Rejection from Japanese Patent Office dated January 19, 2006 in Japanese<br>Application No. 2003-067607, and English translation thereof  | Y                        |
|                      |                          |   |                          |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.